

# Atkin-Lehner operators for $\mathrm{GL}(n)^*$

BY RADU TOMA<sup>†</sup>

## Abstract

We prove that the normaliser of the congruence subgroup  $\Gamma_0(N)$  inside  $\mathrm{GL}_n(\mathbb{Q})$  is trivial for  $n > 2$ . Since this normaliser was the source of Atkin-Lehner operators for subgroups of  $\mathrm{SL}_2(\mathbb{R})$ , we give a different perspective in order to obtain generalisations of Atkin-Lehner operators in higher rank. Under this perspective, the only non-trivial operator is the generalised Fricke involution, which provides the dual form in  $L$ -function theory.

*This note is a draft. Date: 03.08.2021*

## 1 Introduction

Let  $\Gamma_0^n(N)$  be the subgroup of  $\mathrm{SL}_n(\mathbb{Z})$  consisting of matrices with last row of the form

$$(0, \dots, 0, *) \pmod{N},$$

where  $*$  is a unit modulo  $N$ . This family of arithmetic subgroups is of great importance in number theory, lying at the basis of the theory of newforms (s. section 13.8 in [3]). For  $n = 2$ , the theory of newforms is intimately related to the theory of Atkin-Lehner operators. Yet for  $n > 2$ , definitions for Atkin-Lehner operators do not seem to be in print, at least not in classical language, i.e. not representation-theoretic.

It is the purpose of this note to present a possible generalisation of Atkin-Lehner operators to the Hecke congruence subgroups of  $\mathrm{SL}_n(\mathbb{R})$  with  $n > 2$ . This generalisation yields the corresponding Fricke involutions, which sends an automorphic form to the dual form appearing in the functional equation of its  $L$ -function.

According to our definition, there are no other Atkin-Lehner operators apart from the Fricke involution (and the trivial identity) in the case  $n > 2$ . This apparent shortcoming of the definition is, in fact, a meaningful phenomenon. The proof of this negative result applied to the case  $n = 2$  shows that this scarcity is an exacerbation of the shortage of Atkin-Lehner operators for powerful levels, which is a well-known technical difficulty in applications.

## 2 The normaliser of $\Gamma_0(N)$

In the theory of automorphic forms on  $\mathrm{SL}_2(\mathbb{R})$ , an Atkin-Lehner operator  $S$  is obtained by setting  $Sf(z) = f(gz)$  for all  $z \in \mathbb{H}$ , where  $g$  lies in the normaliser of  $\Gamma_0^2(N)$  inside  $\mathrm{SL}_2(\mathbb{R})$ . This is a natural method of producing automorphisms of spaces of automorphic forms, since the invariance of  $f(z)$  under a group  $\Gamma$  is equivalent to the invariance of  $f(gz)$  under  $g^{-1}\Gamma g$ . The normaliser has been computed by Atkin and Lehner in [1] and an example of a non-trivial normalising element is

$$g = \begin{pmatrix} & -1 \\ N & \end{pmatrix},$$

which induces the so-called *Fricke involution*.

Thus, searching for symmetries of automorphic forms in higher rank should involve computing the normalisers of  $\Gamma_0^n(N)$  for  $n > 2$ . Unfortunately, this method can only produce the identity operator, since these normalisers, in contrast to the case  $n = 2$ , are trivial. In the following we denote by  $\mathrm{GL}_n^+(\mathbb{Q})$  the subgroup of invertible matrices with positive determinant.

**Theorem 1.** *For  $n > 2$ , the normaliser of  $\Gamma_0^n(N)$  inside  $\mathrm{GL}_n^+(\mathbb{Q})$  is trivial, that is, equal to  $\mathbb{Q}_{>0} \cdot \Gamma_0^n(N)$ .*

---

\*. This article has been written using GNU  $\mathrm{T}_{\mathrm{E}}\mathrm{X}_{\mathrm{M}}\mathrm{A}\mathrm{C}\mathrm{S}$  [4].

†. University of Bonn. This note can be found at my website: <https://www.math.uni-bonn.de/people/toma/>.

For simplicity, we prove the theorem in the case of  $n=3$ . Consider the action of  $G:=\mathrm{GL}_3^+(\mathbb{Q})$  on full  $\mathbb{Z}$ -lattices in  $\mathbb{R}^3$ . Let  $L_1=\langle e_1, e_2, e_3 \rangle$  be the standard lattice for a basis  $(e_1, e_2, e_3)$  of  $\mathbb{R}^3$  and consider  $\mathcal{L}=G \cdot L_1$ , the orbit of  $L_1$  under the action of  $G$ .<sup>1</sup>

Note that the stabiliser of  $L_1$  under this action is the group  $\mathrm{SL}_3(\mathbb{Z})$ . More generally, for  $M \in \mathbb{N}$ , let  $L_M=\langle e_1, e_2, M e_3 \rangle$ , or in other words,

$$L_M = \begin{pmatrix} 1 & & \\ & 1 & \\ & & M \end{pmatrix} \cdot L_1.$$

The stabiliser of  $L_M$  is

$$\mathrm{Stab}(L_M) = \begin{pmatrix} 1 & & \\ & 1 & \\ & & M \end{pmatrix} \mathrm{Stab}(L_1) \begin{pmatrix} 1 & & \\ & 1 & \\ & & M \end{pmatrix}^{-1} = \left\{ \begin{pmatrix} a_{11} & a_{12} & \frac{a_{13}}{M} \\ a_{21} & a_{22} & \frac{a_{23}}{M} \\ Ma_{31} & Ma_{32} & a_{33} \end{pmatrix} : (a_{ij}) \in \mathrm{SL}_3(\mathbb{Z}) \right\}.$$

It follows that  $\mathrm{Stab}(L_1) \cap \mathrm{Stab}(L_M) = \Gamma_0^3(M)$ . Since  $\Gamma_0^3(N) \subset \Gamma_0^3(M)$  for all  $M|N$ , we deduce that

$$\bigcap_{M|N} \mathrm{Stab}(L_M) = \Gamma_0^3(N).$$

The following lemma asserts that these lattices are essentially all the lattices fixed by  $\Gamma_0^3(N)$ . Intuitively, this means that  $\Gamma_0^3(N)$  is quite large and therefore cannot have a much larger normaliser.

**Lemma 2.** *The set of lattices fixed by  $\Gamma_0^3(N)$  is*

$$\bigcup_{M|N} \{q L_M : q \in \mathbb{Q}_{>0}\}.$$

**Proof.** Let  $L = g \cdot L_1 \in \mathcal{L}$ , where  $g \in \mathrm{GL}_3^+(\mathbb{Q})$ , and assume that  $\Gamma_0^3(N)$  fixes  $L$ . Then  $g^{-1}\Gamma_0^3(N)g$  fixes  $L_1$ , so we must have  $g^{-1}\Gamma_0^3(N)g \subset \mathrm{SL}_3(\mathbb{Z})$ .

Without loss of generality, that is, by scaling  $g$  by a positive rational number, we may assume that  $g \in \mathcal{M}_{3 \times 3}(\mathbb{Z})$ . Let then  $H$  be the Hermite normal form of  $g$ , so that

$$H = gU,$$

with  $U \in \mathrm{SL}_3(\mathbb{Z})$  and  $H$  lower triangular. We have  $HL_1 = gUL_1 = gL_1 = L$ . So we may further assume that  $g = H$  is lower triangular. More explicitly, write

$$H = \begin{pmatrix} \alpha_1 & 0 & 0 \\ \beta_1 & \beta_2 & 0 \\ \gamma_1 & \gamma_2 & \gamma_3 \end{pmatrix} \in \mathcal{M}_{3 \times 3}(\mathbb{Z}).$$

We test the inclusion  $H^{-1}\gamma H \in \mathrm{SL}_3(\mathbb{Z})$  with various matrices  $\gamma \in \Gamma_0(N)$ .

$$\begin{aligned} H^{-1} \begin{pmatrix} 1 & 1 \\ & 1 \\ & & 1 \end{pmatrix} H \in \mathrm{SL}_3(\mathbb{Z}) & \text{ implies that } \frac{\beta_1}{\alpha_1}, \frac{\beta_2}{\alpha_1}, \frac{\beta_1\gamma_2 - \gamma_1\beta_2}{\alpha_1\gamma_3} \in \mathbb{Z}; \\ H^{-1} \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} H \in \mathrm{SL}_3(\mathbb{Z}) & \text{ implies that } \frac{\gamma_1}{\alpha_1}, \frac{\gamma_2}{\alpha_1}, \frac{\gamma_3}{\alpha_1} \in \mathbb{Z}; \\ H^{-1} \begin{pmatrix} 1 & & \\ & 1 & 1 \\ & & 1 \end{pmatrix} H \in \mathrm{SL}_3(\mathbb{Z}) & \text{ implies that } \frac{\alpha_1}{\beta_2}, \frac{\alpha_1}{\beta_2} \cdot \frac{\gamma_2}{\gamma_3} \in \mathbb{Z}; \\ H^{-1} \begin{pmatrix} 1 & & \\ & 1 & \\ & & N \end{pmatrix} H \in \mathrm{SL}_3(\mathbb{Z}) & \text{ implies that } N \frac{\alpha_1}{\gamma_3} \in \mathbb{Z}. \end{aligned}$$

1. The orbit  $\mathcal{L}$  is essentially the set of lattices commensurable to  $L_1$ .

Since  $\frac{\beta_2}{\alpha_1}, \frac{\alpha_1}{\beta_2} \in \mathbb{Z}$ , we must have  $\frac{\beta_2}{\alpha_1} = \pm 1$ . Since  $\frac{\gamma_3}{\alpha_1}, N \frac{\alpha_1}{\gamma_3} \in \mathbb{Z}$ , we must have  $\frac{\gamma_3}{\alpha_1} = \pm M|N$ . Using the rest of the findings above, we may do column manipulations and obtain

$$H = \alpha_1 \begin{pmatrix} 1 & 0 & 0 \\ \frac{\beta_1}{\alpha_1} & \frac{\beta_2}{\alpha_1} & 0 \\ \frac{\gamma_1}{\alpha_1} & \frac{\gamma_2}{\alpha_1} & \frac{\gamma_3}{\alpha_1} \end{pmatrix} = \alpha_1 \begin{pmatrix} 1 & & \\ & 1 & \\ & & M \end{pmatrix} U',$$

with  $U' \in \mathrm{SL}_3(\mathbb{Z})$ . Thus  $L = H L_1 = L_M$  up to  $\mathbb{Q}_{>0}$  scalars.  $\square$

**Proof of Theorem 1.** Let  $g \in \mathrm{GL}_3^+(\mathbb{Q})$  such that  $g^{-1}\Gamma_0^3(N)g = \Gamma_0^3(N)$ . Since  $\Gamma_0^3(N)$  fixes the lattices  $L_M$  for all divisors  $M$  of  $N$ , we find that  $\Gamma_0^3(N)$  must also fix the lattices  $gL_M$  for  $M|N$ . By the previous lemma, for each divisor  $M$  of  $N$  there is a rational number  $q_M$  and a divisor  $f(M)|N$  such that

$$g L_M = q_M L_{f(M)}, \quad \text{for all } M|N.$$

By the definition of  $L_M$  and using the fact that  $\mathrm{Stab}(L_1) = \mathrm{SL}_3(\mathbb{Z})$ , we can deduce that

$$q_M^{-1} \begin{pmatrix} 1 & & \\ & 1 & \\ & & f(M)^{-1} \end{pmatrix} \cdot g \cdot \begin{pmatrix} 1 & & \\ & 1 & \\ & & M \end{pmatrix} \in \mathrm{SL}_3(\mathbb{Z}), \quad (1)$$

for all  $M|N$ .

Rescaling  $g$  by  $q_1 \in \mathbb{Q}$  we may assume that  $q_1 = 1$ . Taking  $M = 1$  in (1) and applying determinants, we deduce that  $\det(g) = f(M)$ . Applying determinants to all other equations, we find that

$$q_M^3 = \frac{f(1)M}{f(M)}.$$

In particular, for  $M = N$ , we have  $q_N^3 f(N) = N f(1)$ . Since  $f(N)|N$ , we must have  $q_N \in \mathbb{Z}$ .

Let us make (1) more explicit. Taking  $M = 1$ , we have

$$g = \begin{pmatrix} * & * & * \\ * & * & * \\ f(1)* & f(1)* & f(1)* \end{pmatrix},$$

where  $*$  denotes unknown integers. In particular, the last column of  $g$  is integral. If we now take  $M = N$ , we have

$$g = \begin{pmatrix} q_N * & q_N * & * \\ q_N * & q_N * & * \\ q_N f(N) * & q_N f(N) * & * \end{pmatrix}.$$

Using the properties of the determinant and that  $*$  denotes integers, we deduce that  $q_N^2 |\det(g)| = f(1)$ .

Let  $f(1) = q_N^2 k$  for some  $k \in \mathbb{Z}$ . Now the last row of  $g$  is divisible by  $q_N^2 k$  and the first two columns are divisible by  $q_N$ , so by the same method we infer that  $q_N k \cdot q_N \cdot q_N = q_N^3 k$  divides  $\det(g) = f(1) = q_N^2 k$ . Therefore  $q_N = 1$ , which implies that  $f(N) = N f(1)$ . Since  $f(N)|N$ , we have  $f(1) = 1$  and  $f(N) = N$ . Putting everything together, it follows that  $g \in \Gamma_0(N)$ .  $\square$

**Remark 3.** The case  $n > 3$  can be done similarly. In essence, what makes the case  $n = 2$  differ from the rest is the imbalance between the number of columns with divisibility conditions and the number of rows with such conditions. This leads to the different exponents of  $q_N$  in the proof and ultimately to the triviality of the solutions to our equations.

**Remark 4.** We believe that a similar proof with slight adjustments can show that the normaliser inside  $\mathrm{GL}_n(\mathbb{R})$  is also trivial.

### 3 The Atkin-Lehner operators

#### 3.1 A different perspective

We have seen in the last section that  $n = 2$  is singular in the sequence of families  $\Gamma_0^n(N)$  of congruence subgroups. To arrive at a general definition of Atkin-Lehner operators, it is useful to note another way in which the group  $\mathrm{SL}_2(\mathbb{R})$  is distinguished, as described below.

A very important automorphism of matrices in  $\mathrm{SL}_n(\mathbb{R})$  is the map  $g \mapsto g^{-T}$ , sending a matrix to its inverse transpose. In number theory, this map is used to define the dual form of an automorphic form for  $\mathrm{SL}_n(\mathbb{Z})$  (s. section 9.2 in [2]), or also to define the contragredient representation. In the theory of automorphic forms for  $\mathrm{SL}_2(\mathbb{Z})$ , dual forms are not usually mentioned because dualising turns out to be trivial. Indeed, if we take  $w = \begin{pmatrix} & -1 \\ 1 & \end{pmatrix}$  to be the long Weyl element, then we easily compute that

$$w g^{-T} w^{-1} = -\frac{1}{\det(g)} g. \quad (2)$$

In particular, the map  $z \mapsto z^{-T}$  induces the identity under the projection  $\mathrm{SL}_2(\mathbb{R}) \rightarrow \mathrm{SL}_2(\mathbb{Z}) \backslash \mathrm{SL}_2(\mathbb{R}) / \mathrm{SO}(2)$ .

We can artificially introduce the dual map into the theory of Atkin-Lehner operators. For instance, one could write the Fricke involution  $W_N$  as

$$W_N f(z) = f\left(\begin{pmatrix} & -1 \\ N & \end{pmatrix} z\right) = f\left(\begin{pmatrix} & -1 \\ N & \end{pmatrix} w z^{-T} w\right) = f\left(\begin{pmatrix} 1 & \\ & N \end{pmatrix} z^{-T}\right).$$

Though slightly cumbersome in rank 1, this approach leads to the right definition of Atkin-Lehner operators for  $n > 2$ .

Let  $g \in \mathrm{GL}_n(\mathbb{R})$  such that

$$g^{-1} \Gamma_0^n(N) g = \Gamma_0^n(N)^T. \quad (3)$$

Then the map  $f(z) \mapsto f(g z^{-T})$  is an operator on the space of automorphic forms for  $\Gamma_0^n(N)$ , which we call by definition an *Atkin-Lehner operator*. As in the previous example, all Atkin-Lehner operators for  $n = 2$  can be construed as above. More precisely, taking a matrix in the normaliser of  $\Gamma_0^2(N)$  and multiplying from the right by the long Weyl element gives a matrix  $g$  satisfying (3).

In this interpretation, the group structure coming from the normaliser is not obvious any more. Indeed, using this definition, we cannot recover the identity for  $n > 2$ , though this is still possible for  $n = 2$  through the special property (2). Finding an ever more general definition proves difficult, since the available types of automorphisms of invertible matrices are scarce. As explained in [5], all automorphisms in the case  $n > 2$  are constructed out of inner automorphisms, radial automorphisms, and the inverse-transpose automorphism. Inner automorphisms cannot contribute, since we have proved that the normaliser of  $\Gamma_0^n(N)$  is trivial; radial automorphisms are trivial in our context, since automorphic forms are invariant under the center of  $\mathrm{GL}_n(\mathbb{R})$ ; and the inverse-transpose automorphism is precisely the basis for the definition given in this note.

#### 3.2 The Fricke involution

Nevertheless, this definition does yield an important operator. We define the *Fricke involution* (of level  $N$ ) to be the Atkin-Lehner operator given by the matrix

$$W_N = \mathrm{diag}(1, \dots, 1, N),$$

which is easily seen to satisfy (3). By slight abuse of notation, we denote this operator by  $W_N$  as well. It can be checked that the Fricke involution is indeed an involution. If we consider the space of automorphic form for  $\Gamma_0^n(N)$  with character  $\chi$ , then the image of the operator is the space of automorphic forms with character  $\bar{\chi}$ , as expected.

Another expected property of the Fricke involution is that it essentially commutes with Hecke operators. In fact, not taking characters into consideration, the precise formulation is that  $T_m W_N = W_N T_m^*$ , where  $T_m$  is the  $m$ -th Hecke operator. It is another special feature of  $\mathrm{SL}_2(\mathbb{R})$  that  $T_m = T_m^*$ , but this is no longer true in higher rank (s. Theorem 9.3.6 and its proof in [2]).

**Lemma 5.** For  $g \in \mathcal{M}_n(\mathbb{Z})$  with  $\det(g) = m$  and last row of the form  $(0, \dots, 0, *) \pmod{N}$ , let  $T_g$  denote the Hecke operator on automorphic forms for  $\Gamma_0^n(N)$  corresponding to the double coset  $\Gamma_0^n(N)g\Gamma_0^n(N)$ . Then  $T_g W_N = W_N T_g^*$ .

**Proof.** By a variant of the Smith normal form, we may assume that  $g$  is diagonal and by a variant of the transposition anti-automorphism for  $\Gamma_0^n(N)$  (generalising Lemma 4.5.2 and Theorem 4.5.3 in [6], we may assume that there are matrices  $\alpha_i$ ,  $i = 1, \dots, k$ , for some  $k$ , such that

$$\Gamma_0^n(N)g\Gamma_0^n(N) = \bigcup_i \Gamma_0^n(N)\alpha_i = \bigcup_i \alpha_i\Gamma_0^n(N).$$

Then by definition we have

$$T_g W_N f(z) = \sum_i W_N f(\alpha_i z) = \sum_i f(W_N \cdot \alpha_i^{-T} z^{-T}) = \sum_i f(\beta_i \cdot W_N \cdot z^{-T}) = W_N \sum_i f(\beta_i z),$$

where  $\beta_i = W_N \alpha_i^{-T} W_N^{-1}$ . The proof is finished by showing that  $\bigcup_i \Gamma_0^n(N)\beta_i = \Gamma_0^n(N)g^{-1}\Gamma_0^n(N)$ , since this double coset corresponds to  $T_g^*$  (s. [Goldfeld 6.4.10]). Indeed,

$$\begin{aligned} \bigcup_i \Gamma_0^n(N)\beta_i &= \bigcup_i \Gamma_0^n(N)W_N \alpha_i^{-T} W_N^{-1} \\ &= \bigcup_i W_N \Gamma_0^n(N)^T W_N^{-1} W_N \alpha_i^{-T} W_N^{-1} \\ &= W_N \left[ \bigcup_i \Gamma_0^n(N)\alpha_i \right]^{-T} W_N^{-1} \\ &= W_N \Gamma_0^n(N)^T g^{-1} \Gamma_0^n(N)^T W_N^{-1} \\ &= \Gamma_0^n(N)g^{-1}\Gamma_0^n(N). \end{aligned}$$

Here we made use of fundamental property (3) of  $W_N$  and of the fact that  $g$  is diagonal.  $\square$

Another property of the Fricke involution that we may expect is self-adjointness. This can easily be seen by using a known fact about the dual map for  $\mathrm{SL}_n(\mathbb{Z})$ . Namely, the map  $f(z) \mapsto f(w z^{-T} w^{-1})$ , where  $w$  is the long Weyl element, is self-adjoint (one can compute directly in explicit coordinates given in [2], Proposition 9.2.1 or Proposition 6.3.1). We can interpret the Fricke involution as

$$W_N f(z) = f(m w z^{-T} w^{-1}),$$

where  $m = W_N w^{-1}$ , that is, as the composition of the dual map with the left-action of  $m$ . Since the measure on  $\mathbb{H}^n$  is  $\mathrm{GL}_n(\mathbb{R})$ -invariant, we can make the same explicit computations and change of coordinates as for the dual map. Since  $W_N$  as a matrix is diagonal, we easily deduce the conclusion

$$W_N^* = W_N$$

as operators.

As in the case of  $n = 2$ , the properties given above should lead to the appearance of the Fricke involution in the functional equation of automorphic  $L$ -functions. From this point of view, our definition does not give a properly new operator, but rather provides the classical formulation of an important concept that is already implicitly present in the representation-theoretic language.

### 3.3 A negative result

The theory of Atkin-Lehner operators for  $\Gamma_0^n(N)$  shows some weaknesses already in the well-understood case  $n = 2$ . Indeed, one can only define Atkin-Lehner operators for divisors  $M$  of the level  $N$ , such that  $M$  and  $N/M$  are coprime. More precisely, there are no operators induced by matrices with determinant equal  $M|N$ , such that  $(M, N/M) \neq 1$  (s. [1], p. 138). This phenomenon creates difficulties in applications when considering powerful levels. In this section, we see that these difficulties only get more problematic in higher rank. In fact, the only Atkin-Lehner operator for  $n > 2$ , according to our definition, is the Fricke involution.

**Proposition 6.** *Let  $g \in \mathrm{GL}_n^+(\mathbb{Q})$  satisfy  $g^{-1}\Gamma_0^n(N)g = \Gamma_0^n(N)^T$ . Then, after scaling by a suitable rational number,  $g$  is integral, the last row and the last column of  $g$  are divisible by  $N$ , and  $\det(g) = N$ . Equivalently,  $g \in \mathbb{Q}_{>0} \cdot \Gamma_0^n(N) W_N$ .*

**Proof.** We apply the same ideas as in the proof of Theorem 1. Again the proof is done for  $n = 3$ , merely for simplicity. One can check that  $\Gamma_0(N)^T$  stabilises the lattices

$$L_{M^{-1}} = \langle e_1, e_2, M^{-1}e_3 \rangle = \mathrm{diag}(1, 1, M^{-1})L_1$$

for all divisors  $M|N$ . It follows that  $\Gamma_0(N)$  must stabilise (up to scalars) the lattices  $gL_{M^{-1}}$ . By Lemma 2 determining the fixed points of  $\Gamma_0(N)$ , we have

$$gL_{M^{-1}} = q_M L_{f(M)},$$

with  $f(M)|N$ . We normalise  $g$  by a rational number so that  $q_1 = 1$ . The equations above imply that

$$g \in q_M \mathrm{diag}(1, 1, f(M)) \mathrm{SL}_3(\mathbb{Z}) \mathrm{diag}(1, 1, M), \quad (4)$$

using that the stabiliser of  $L_1$  is  $\mathrm{SL}_3(\mathbb{Z})$ . Let us take determinants and deduce that

$$\det g = q_M^3 \cdot f(M) \cdot M. \quad (5)$$

By our assumption,  $\det g = f(1)$ .

Take  $M = N$  in (5) and note that

$$q_N^{-3} = \frac{f(N)N}{f(1)}.$$

Since  $f(1)|N$ , we deduce that  $q_N^{-3} \in \mathbb{Z}$ , so  $d := q_N^{-1} \in \mathbb{Z}$ . Using this notation we have  $d^3 f(1) = f(N)N$ .

Now we use the matrix equation for  $M = 1$  and  $M = N$  to find that

$$g = \begin{pmatrix} & & & \\ & & & \\ f(1)* & f(1)* & f(1)* & \end{pmatrix} \quad \text{and} \quad g = \begin{pmatrix} & & & \frac{N}{d}* \\ & & & \frac{N}{d}* \\ \frac{f(N)}{d}* & \frac{f(N)}{d}* & \frac{f(N)N}{d}* & \end{pmatrix}, \quad (6)$$

where the \*'s stand for integers and the rest of the matrices are filled by integers.

Notice that  $d|N$ . Indeed, say there is a prime  $p$  such that  $p^k|d$ , but  $p^k \nmid N$ . Then  $p^k \nmid f(N)$  since  $f(N)|N$ , and thus  $p^{2k} \nmid Nf(N)$ . But we know that  $d^3 f(1) = Nf(N)$ , so we must have  $p^{3k}|Nf(N)$ , which is a contradiction unless  $k = 0$ .

Now suppose  $p$  is a prime dividing  $d$  such that  $p^k||d$  is the maximal power of  $p$  dividing  $d$ , with  $k \geq 1$ . As in the last paragraph, it would follow that  $p^{3k}|f(N)N$  and  $p^k|N$ . Since  $f(N)|N$ , we deduce that  $p$  divides  $N/d$ . We now use the divisibility conditions from the right of (6) for the last column of  $g$  and the divisibility conditions from the left of (6) for the first two entries of the last row of  $g$ , so that putting everything together we obtain

$$g = \begin{pmatrix} & & p* \\ & & p* \\ f(1)* & f(1)* & f(1)p^2* \end{pmatrix}.$$

It would follow that  $\det g = f(1) \cdot p$ , but this is a contradiction. Therefore  $d = 1$ .

We infer that  $f(1) = Nf(N)$ , so considering divisibility we must have  $f(1) = N$  and  $f(N) = 1$ . This implies that  $\det g = N$  and that the last row and column of  $g$  are divisible by  $N$ .

Thus  $g$  is of the form

$$g = \begin{pmatrix} \alpha_1 & \alpha_2 & N\alpha_3 \\ \beta_1 & \beta_2 & N\beta_3 \\ N\gamma_1 & N\gamma_2 & N\gamma_3 \end{pmatrix},$$

with  $\alpha_i, \beta_i, \gamma_i \in \mathbb{Z}$ . Since  $\det(g) = N$ , it must be that  $\gamma_3$  is coprime to  $N$  and that  $(\alpha_3, \beta_3, \gamma_3) = 1$ . In fact, put these together to have  $(N\alpha_3, N\beta_3, \gamma_3) = 1$ . Now take  $x, y, z \in \mathbb{Z}$  such that

$$xN\alpha_3 + yN\beta_3 + z\gamma_3 = 1.$$

Then  $(xN, yN, z) = 1$ , so we can find a matrix  $u \in \Gamma_0^3(N)$  with last row equal to  $(xN, yN, z)$ . It follows from the above that the entry in the lower right corner of  $u \cdot g$  is equal to  $N$ . By doing row manipulations we can find  $u' \in \Gamma_0^3(N)$  such that

$$u'g = \begin{pmatrix} * & * & 0 \\ * & * & 0 \\ N* & N* & N \end{pmatrix}.$$

In this form, it is obvious that we can find another  $u'' \in \Gamma_0^3(N)$  so that  $u''g = W_N$ . □

**Remark 7.** Let us note what changes in the proof in the case  $n = 2$  and how this leads to the lack of Atkin-Lehner operators for powerful level. In the notation above, we would have the equation  $d^2f(1) = f(N)N$ , where the exponent of  $d$  is equal to  $n$  in general. We can still prove that  $d|N$ , yet the next paragraph differs slightly.

We suppose  $p$  is a prime dividing  $d$  such that  $p^k || d$  is the maximal power of  $p$  dividing  $d$ , with  $k \geq 1$ . As in the proof above, we deduce that  $p^{2k} | f(N)N$  and  $p^k | N$ . To continue the proof and deduce that  $d = 1$ , we need the step showing that  $p$  divides  $N/d$ . This is not true in general any more. For example, if  $N$  is square free, then  $k \leq 1$  and this may not hold for certain choices of  $f(N)$ . In fact, solving the matrix equations eventually leads to the matrices found by Atkin and Lehner (after suitably multiplying by the long Weyl element).

If  $N$  is powerful, then we could have that a higher power of  $p$  divides  $N$ , so that, for certain choices of  $d$ , we can indeed deduce that  $p|N/d$  and produce a contradiction. These choices of  $d$  correspond to divisors  $M$  of  $N$ , such that  $(M, N/M) \neq 1$ . Indeed, suppose that  $\det(g) = f(1) =: M$ ,  $p|M$  and  $p|N/M$ . Then  $p$  divides  $d = f(N)N/M$ . If  $p^k || d$ , then applying the  $p$ -adic valuation to  $d^2M = f(N)N$  and recalling that  $f(N)|N$  shows that  $p|N/d$ . We proceed as in the proof above and derive a contradiction. This shows that there are no Atkin-Lehner operators for such divisors  $M$  as above.

## Bibliography

- [1] A. O. L. Atkin and J. Lehner. Hecke operators on  $\Gamma_0(m)$ . *Mathematische Annalen*, 185(2):134–160, jun 1970.
- [2] Dorian Goldfeld. *Automorphic Forms and L-Functions for the Group  $GL(n, R)$* . Cambridge University Press, Cambridge, 2006.
- [3] Dorian Goldfeld and Joseph Hundley. *Automorphic representations and L-functions for the general linear group*, volume 2. Cambridge Univ. Press, 2011.
- [4] J. van der Hoeven et al. GNU TeXmacs. <https://www.texmacs.org>, 1998.
- [5] B. R. McDonald. Automorphisms of  $GL_n(R)$ . *Transactions of the American Mathematical Society*, 246, 1978.
- [6] Toshitsune Miyake. *Modular Forms*. Springer-Verlag Berlin Heidelberg, 1989.