

**Theorem 7.1.** Let  $L \supset K$  be a finite extension. Then

a)  $[L : K] \geq [L : K]_s$

b) the extension  $L \supset K$  is separable iff  $[L : K] = [L : K]_s$ .

**Proof.** Let  $M$  be a normal closure of  $L : K$ . Consider first the case when  $L \supset K$  is an elementary extension. In this case there exists  $\alpha \in L$  such that  $L = K(\alpha)$ . We know that  $\deg(p(t)) = [L : K]$  and it follows from Lemma 3.3 that the separable degree  $[L : K]_s$  is equal to the number of roots of the polynomial  $p(t) := \text{Irr}(\alpha, K, t)$  in  $M$ . Since the number of roots of the polynomial  $p(t)$  in  $M$  is not bigger than its degree we see that  $[L : K]_s \leq \deg(p(t)) = [L : K]$ . Moreover  $[L : K] = [L : K]_s$  iff the polynomial  $p(t)$  is separable. So the Theorem 7.1 is true for elementary extensions.

Now we prove the Theorem 7.1 by induction in  $[L : K]$ . If  $[L : K] = 1$  then  $L = K$  and there is nothing to prove. So assume  $[L : K] > 1$ , choose  $\alpha \in L - K$  and write  $p(t) := \text{Irr}(\alpha, K, t)$ .

Since  $[L : K(\alpha)] < [L : K]$  we know from the inductive assumption that  $[L : K(\alpha)]_s < [L : K(\alpha)]$ . It follows now from Lemma 6.5 that

$$[L : K]_s = [L : K(\alpha)]_s [K(\alpha) : K]_s \leq [L : K(\alpha)] [K(\alpha) : K]$$

This prove the part a).

Assume now that  $[L : K] = [L : K]_s$ . We want to show that the extension  $L \supset K$  is separable. In other words we want to show that for any  $\alpha \in L$  the extension  $K(\alpha) : K$  is separable. But we know that  $[L : K(\alpha)] \leq [L : K(\alpha)]_s$  and  $[K(\alpha) : K]_s \leq [K(\alpha) : K]$ . Therefore the equality  $[L : K] = [L : K]_s$  implies the equality

$[K(\alpha) : K] = [K(\alpha) : K]_s$  and it follows from the beginning of the proof of Theorem 5.2 that the polynomial  $p(t) := \text{Irr}(\alpha, K, t)$  is separable.

Assume now that the extension  $L \supset K$  is separable. We want to show that  $[L : K] = [L : K]_s$ . We start with the following result.

**Lemma 7.1.** Let  $K \subset F \subset L$  be finite extensions. If the extension  $L : K$  is separable then the extensions  $L : F$  and  $F : K$  are also separable.

**Proof .** Suppose the extension  $L : K$  is separable. It follows immediately from the definition that the extension  $F : K$  is also separable. So it is sufficient to show that the extensions  $L : F$  is separable.

To show that the extension  $L : F$  is separable we have to show that for any  $\alpha \in L$  the polynomial

$r(t) := \text{Irr}(\alpha, F, t) \in F[t]$  has simple roots in  $M$ . Let

$$R(t) := \text{Irr}(\alpha, K, t) \in K[t]$$

Since  $L : K$  is separable we know that the polynomial  $R(t)$  has simple roots in  $M$ . On the other hand  $r(t) | R(t)$ . So all the roots of  $r(t)$  are simple.  $\square$

Now we can finish the proof of Theorem 7.1. Let  $L \supset K$  be a separable extension. We want to show that  $[L : K] = [L : K]_s$ . Since  $[L : K]_s = [L : K(\alpha)]_s [K(\alpha) : K]_s$  and the field extensions  $L : K(\alpha)$  and  $K(\alpha) : K$  are separable the equality follows from the inductive assumption.  $\square$

**Lemma 7.2.** a). Let  $K \subset F \subset L$  be finite extensions. If the extensions  $L : F$  and  $F : K$  are separable then the extension  $L : K$  is also separable.

b) If  $K \subset L$  is a finite separable extension then the normal closure  $M$  of  $L : K$  is separable over  $K$ .

The proof of Lemma 7.2 is assigned as a homework problem.

**Definition 7.1.** Let  $L \supset K$  be a finite normal field extension,  $G := \text{Gal}(L/K)$  be the Galois group of  $L : K$ . To any intermediate field  $F, K \subset F \subset L$  we can assign a subgroup  $H(F) \subset \text{Gal}(L/K)$  define by

$$H(F) := \{h \in \text{Gal}(L/K) | h(f) = f, \forall f \in F\}$$

By the definition  $H(F) = \text{Gal}(L : F)$ .

Conversely to any subgroup  $H \subset \text{Gal}(L/K)$  we can assign an intermediate field extension  $L^H, K \subset L^H \subset L$  where

$$L^H := \{l \in L | h(l) = l, \forall h \in H\}$$

In other words if  $A(L, K)$  is the set of fields  $F$  in between  $K$  and  $L$  and  $B(L, K)$  is the set of subgroups of  $G$  we constructed maps

$$\tau : A(L, K) \rightarrow B(L, K), F \rightarrow H(F) \text{ and}$$

$$\eta : B(L, K) \rightarrow A(L, K), H \rightarrow L^H.$$

**The Main theorem of the Galois theory.**

Let  $L \supset K$  a finite normal separable field extension . Then

a)  $|\text{Gal}(L/K)| = [L : K],$

b)  $L^G = K$

c)  $\tau \circ \eta = \text{Id}_{A(L, K)}$

d)  $\eta \circ \tau = \text{Id}_{B(L, K)}.$

**Proof.** The part a) follows from Theorem 7.1.

Proof of b). Let  $F := L^H$ . As follows from a), the product formula and Theorem 5.1 we have  $[F : K] = [L : K]/[L : F] = 1$ . So  $F = K$ .

Proof of c). Let  $F \in A(L, K)$  be subfield of  $L$  containing  $K$ ,  $H(F) := \eta(F) \subset G$ . Since the extension  $L \supset K$  is normal it follows from Lemma 6.1. c) that the extension  $L \supset F$  is also normal. So it follows from a) that  $|H(F)| = [L : F]$ . Since  $H(F) = \text{Gal}(L : F)$  it follows from b) that  $L^H = F$ . So  $\tau \circ \eta(F) = F$ .

Proof of d) Let  $U \subset B(L, K)$  be a subgroup of  $G$  and  $F := L^U$ . Define  $H := H(F)$ . We want to show that  $U = H$ . By the definition, for any  $u \in U, \alpha \in F$  we have  $u(\alpha) = \alpha$ . In other words  $U \subset H$ . As follows from Theorem 5.1 we have  $[L : F] = |U|$ . On the other hand, it follows from c) that  $[L : F] = |H|$ . So  $|U| = |H|$  and the inclusion  $U \subset H$  implies that  $U = H$ .  $\square$

**Lemma 7.3.** For a finite field extension  $L \supset K$  the following three conditions are equivalent

- a)  $L : K$  is normal,
- b) for every extension  $M$  of  $K$  containing  $L$  and every  $K$ -homomorphism  $f : L \rightarrow M$  we have  $\text{Im}(f) \subset L$  and  $f$  induces an automorphism of  $L$
- c) there exists a normal extension  $N$  of  $K$  containing  $L$  such that for every  $K$ -homomorphism  $f : L \rightarrow N$  we have  $\text{Im}(f) \subset L$ ,

**Proof.** We show that  $a) \Rightarrow b) \Rightarrow c) \Rightarrow a)$ .

$a) \Rightarrow b)$ . We first show that for any  $\alpha \in L$  we have  $f(\alpha) \in L$ . Let  $p(t) = \text{Irr}(\alpha, K, t) \in K[t]$  be the irreducible polynomial monic which has a root  $\alpha \in L$ . Since  $L$  is normal the polynomial splits in  $L[t]$  to a product of linear factors. So all its roots belong to  $L$ . Since  $f : L \rightarrow M$  is  $K$ -homomorphism we know that  $f(\alpha) \in M$  is a root of  $p(t)$ . So  $f(\alpha) \in L$ .

To show that  $f$  induces an automorphism of  $L$  we observe that  $\dim_K L < \infty$ . Since  $f$  is an imbedding it induces an automorphism of  $L$ .

$b) \Rightarrow c)$ . Follows from Lemma 5.1.

$c) \Rightarrow a)$ . Let  $p(t) = \text{Irr}(\alpha, K, t) \in K[t]$  be the irreducible polynomial monic which has a root  $\alpha \in L$ . We want to show that all its roots in a normal closure  $N$  of  $L : K$  are actually in  $L$ . Let  $\beta \in N$  be a root of  $p(t)$ . As follows from Lemma 6.1 a) there exists an automorphism  $f$  of  $N$  such that  $f(\alpha) = \beta$ . Since by c) we have  $f(L) \subset L$  we see that  $\beta \in L$ .  $\square$

**lemma 7.4.** a) Let  $L \supset K$  be a finite extension,  $F, E \subset L$  subfields containing  $K$  and  $EF \subset L$  be the minimal subfield of  $L$  containing

both  $E$  and  $F$ . If both extensions  $E : K$  and  $F : K$  are separable then the extension  $EF : K$  is separable,

b)  $L_s := \{\alpha \in L \mid \text{the extension } K(\alpha) : K \text{ is separable}\}$ . Then  $L_s \subset L$  is a subfield,

c)  $[L_s : K] = [L : K]_s$

I'll leave the proof of lemma 7.4 as a homework.

**Definition 7.2** Let  $L \supset K$  be a finite extension of characteristic  $p > 0$ . We say that an element  $\alpha \in L$  is *purely inseparable* over  $K$  if there exists  $n \geq 0$  such that  $\alpha^{p^n} \in K$ .

**Lemma 7.5.** Let  $L \supset K$  be a finite extension and  $p := \text{ch}(K) > 0$ . The following four conditions are equivalent:

P1.  $L_s = K$ ,

P2. every element  $\alpha \in L$  is purely inseparable,

P3. for every element  $\alpha \in L$  we have  $\text{Irr}(\alpha, K, t) = t^{p^n} - a$  for some  $n \geq 0, a \in K$ ,

P4. there exists a set of generators  $\alpha_1, \dots, \alpha_m \in L$  of  $L$  over  $K$  [ that is  $L = K(\alpha_1, \dots, \alpha_m)$ ] such that all elements  $\alpha_i, 1 \leq i \leq m$  are purely inseparable over  $K$ .

**P1 implies P2.** Let  $M$  be a normal closure of  $L$  over  $K$ . Assume P1. Fix  $\alpha \in L$ . We want to show that every element  $\alpha \in L$  is purely inseparable. As follows from Lemma 5.3 we have  $[K(\alpha) : K]_s = 1$ . Let  $p(t) := \text{Irr}(\alpha, K, t)$ . As follows from Lemma 3.3 the number of distinct roots of  $p(t)$  in  $M$  is equal to  $[K(\alpha) : K]_s$ . So  $p(t) = (t - \alpha)^m$ .

I claim that there exists  $n \geq 0$  such that  $m = p^n$ .

Really write  $m = p^n r$  where  $r$  is prime to  $p$ . Then we have

$$p(t) = ((t - \alpha)^{p^n})^r = (t^{p^n} - \alpha^{p^n})^r = t^{p^{nr}} - r\alpha^{p^n} t^{p^n(r-1)r} + \dots$$

where ... stay for lower terms.

Since  $p(t) \in K[t]$  we see that  $r\alpha^{p^n} \in K$ . Since  $r$  is prime to  $p$  we can divide by  $r$ . Therefore  $\alpha^{p^n} \in K$  and  $p(t) = (t - \alpha)^{p^n}$ . Since  $p(t) \in K[t]$  we see that  $\alpha^{p^n} \in K$ .  $\square$

I'll leave for you to show that P2 implies P3 and that P3 implies P4.

**P4 implies P1.** We have to show that any  $K$ -homomorphism  $f : L \rightarrow M$  is equal to the identity. Since  $L = K(\alpha_1, \dots, \alpha_m)$  it is sufficient to show that

$f(\alpha_i) = \alpha_i, 1 \leq i \leq m$ . Since the elements  $\alpha_i$  are purely inseparable for any  $i, 1 \leq i \leq n$  there exists  $n \geq 0$  such that  $\alpha_i$  is a root of the

polynomial  $p(t) = t^{p^n} - a$ . But then  $p(t) = (t - \alpha_i)^{p^n}$  and therefore  $\alpha_i$  is its only root. Since  $f(\alpha_i)$  is also a root of  $p(t)$  we see that  $f(\alpha_i) = \alpha_i$ .  $\square$

**Definition 7.2.** Let  $L \supset K$  be a finite extension.

a) We say that the extension  $L \supset K$  is *purely inseparable* if it satisfies the conditions of Lemma 7.6,

b) we define  $[L : K]_i := [L : L_s] = [L : K]/[L : K]_s$ .

Now we finish the proof of Theorem 2.1. Remind the Definition 2.3.

We say that a finite extension  $L \supset K$  satisfies the condition  $\star$  if there exists only a finite number of subfields  $F \subset L$  containing  $K$ .

**Theorem 7.2.** a) A finite extension  $L \supset K$  is elementary iff it satisfies the condition  $\star$ ,

b) any finite separable extension  $L \supset K$  is elementary.

**Proof of a)** We have to show that

i) if  $L \supset K$  is a finite extension of  $K$  which satisfies the condition  $\star$  then the extension  $L \supset K$  is elementary

and

ii) if  $L \supset K$  is an elementary extension then it satisfies the condition  $\star$ .

The part i) was proven in the second lecture. Now we will proof the part ii).

So assume that  $L = K(\alpha)$ . We want to show that the set  $A$  of intermediate fields  $F, K \subset F \subset L$  is finite.

Let  $M \supset L$  be a splitting field of  $p(t) := Irr(\alpha, K, t) \in K[t]$ . Then

$$p(t) = \prod_{i=1}^s (t - \alpha_i)^{m_i}, \alpha_i \in M, m_i > 0$$

Let  $B$  be the set of monic polynomials in  $r(t) \in M[t]$  which divide  $p(t)$ . Since any such monic polynomials in  $r(t) \in M[t]$  has a form

$$r(t) = \prod_{i=1}^s (t - \alpha_i)^{n_i}, \alpha_i \in M, 0 \leq n_i \leq m_i > 0$$

we see that the set  $B$  is finite.

So for a proof of ii) it is sufficient to construct an imbedding of the set  $A$  into the set  $B$ .

Given an intermediate field  $F, K \subset F \subset L$  consider the polynomial  $r_F(t) := Irr(\alpha, F, t) \in F[t]$ . As we know  $\text{degr}_F(t) = [F(\alpha) : F]$ . Since  $F(\alpha) \supset K(\alpha) = L$  we see that  $F(\alpha) = L$  and  $\text{deg}(r_F(t)) = [L : F]$ .

Since  $p(\alpha) = 0$ , the polynomial  $r_F(t) \in F[t]$  is irreducible in  $F[t]$  and  $r_F(\alpha) = 0$  we see that  $r_F(t) | p(t)$ . So  $r_F(t) \in B$  and we constructed a

map  $A \rightarrow B$ . To finish the proof of ii) it is sufficient to show that we can reconstruct the field  $F$  if we know the polynomial  $r_F(t)$ .

Let  $F_0 \subset L$  be the field generated over  $K$  by the coefficients of the polynomial  $r_F(t)$ . I claim that  $F = F_0$ .

By the construction we have  $r_F(t) \in F_0[t]$ . The inclusion  $r_F(t) \in F[t]$  implies that  $F_0 \subset F$ . Since the polynomial  $r_F(t) \in F[t]$  is irreducible it is also irreducible in  $F_0[t]$ . So we see that  $\text{degr}_F(t) = [L : F_0]$ . Now the inclusion  $F_0 \subset F$  implies that  $F_0 = F$ .

By the definition the field  $F_0$  is determined by the knowledge of the polynomial  $r_F(t)$ .  $\square$

To prove b) we have to show that any finite separable extension  $L \supset K$  satisfies the condition  $\star$ .

In the case when  $K$  is a finite field there is nothing to prove. So we assume that the field  $K$  is infinite.

Since the extension  $L \supset K$  is finite we can find  $\alpha_1, \dots, \alpha_n \in L$  such that  $L = K(\alpha_1, \dots, \alpha_n)$ . We have to show that there exists  $\beta \in L$  such that  $L = K(\beta)$ . I'll prove the result for  $n = 2$ . The general case follows easily by induction. [ We have run through analogous reduction to the case  $n = 2$  a number of times] .

So assume that  $L = K(\alpha_1, \alpha_2)$ . Let  $M$  be a normal closure of  $L$ ,  $d := [L : K]$ . Since the extension  $L \supset K$  is separable it follows from Theorem 5.2 that there exists  $d$  distinct field homomorphisms  $f_i : L \rightarrow M$ ,  $1 \leq i \leq d$ . Consider the polynomial

$$q(t) := \prod_{1 \leq i \neq j \leq d} (f_i(\alpha_1) + tf_i(\alpha_2) - f_j(\alpha_1) - tf_j(\alpha_2))$$

By the construction  $f_i \neq f_j$  for  $i \neq j$ . So  $q(t) \neq 0$  and the polynomial  $q(t)$  has only finite number of roots. Since  $|K| = \infty$  there exists  $c \in K$  such that  $q(c) \neq 0$ . In other words  $f_i(\alpha_1) + cf_i(\alpha_2) \neq f_j(\alpha_1) + cf_j(\alpha_2)$  if  $1 \leq i \neq j \leq d$ . Let  $\beta := \alpha_1 + c\alpha_2$  for  $1 \leq i \neq j \leq d$ ,  $L' := K(\beta)$ . We want to show that  $L' = L$ .

Let  $g_i : L' \rightarrow M$ ,  $1 \leq i \leq d$  be the restrictions of  $f_i$  to  $L' \subset L$ . Since  $f_i(\alpha) \neq f_j(\alpha)$  for  $1 \leq i \neq j \leq d$  we see that the field homomorphisms  $g_i : L' \rightarrow M$  are distinct. Therefore  $[L' : K]_s \geq d = [L : K]$ . It follows now from Theorem 5.2 that  $[L' : K] \geq [L : K]$ . Since  $L' \subset L$  this is possible only if  $L' = L$ .  $\square$